

EXPORT CONTROL: ATTESTATION FORM

This form should be completed by the principal investigator who will be supervising the visa beneficiary (i.e. the nonimmigrant employee on whose behalf the University is filing the I-129 petition), or the individual who is otherwise knowledgeable about the beneficiary's intended work.

Please include this form with the H-1B or O-1 packet request that will be submitted to Services for International Students and Scholars (SISS) for the beneficiary. If you have marked "Yes" to any of Questions 3, 4, or 5, please complete and submit an Export Control – Supplement to I-129 Attestation Form.

Beneficiary's Family Name:	Beneficiary's First Name:
Beneficiary's Citizenship(s):	
Department Sponsoring Beneficiary:	
PI or Supervisor (Name, Title, Phone #, Email):	

If there are any questions regarding the statements below, please contact the Research Compliance and Integrity office at (530) 754-7754 or ORRCI@ad3.ucdavis.edu.

Form I-129 (Part 6) requires UC Davis to certify whether or not controlled technology or technical data will be used or accessed by the beneficiary and, if so, that a license from the Department of Commerce or the Department of State will first be obtained. "Technology" and "technical data" are broadly defined under federal law (ranging from computer hardware and software code, chemical and biological materials, and a long list of instruments and other equipment and technology). More information about the purpose and scope of these federal laws and requirements can be found at <http://research.ucdavis.edu/c/cs/ec>. UC Davis is committed to complying with these laws and thus it is imperative that you answer the following questions completely and accurately to your best ability as substantial civil and criminal penalties may be assessed if the certification is incorrect.

Principal Investigator or Supervisor - Attestation

1. Will the beneficiary's activities involve any sort of research?

- Yes No

If "No," please proceed to question 4.

2. If the beneficiary will be involved in research, is there a research agreement (e.g., grant or contract) that has or will be reviewed and executed/signed by the Office of Research or UC Davis Health System Sponsored Programs and/or Contracts unit(s)?

- Yes No

If "No," who will be funding the beneficiary's research? _____

3. Is there any expectation that the research results will not be published or shared broadly with the academic or scientific community or the research agreement restricts publication or presentation of the results in any way (e.g. pre-publication review, right to withhold permission for publication)?

- Yes No

4. Will the beneficiary's activities or projects (including any research projects) involve access to technical information or scientific third-party information, materials, equipment, or manuals that has been stamped "Export Controlled" or is not already in the public domain (i.e., not already published or is proprietary or confidential)?

- Yes No

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5. Will the beneficiary's activities or projects (including any research projects) involve access to or use of any military or space applications or "dual-use" technologies that have potential applications to military/proliferation purposes in addition to the usual commercial uses?

For example: Newly developed night vision technology or GPS technology used to observe nocturnal animals may have a "dual use" even though it's primary purpose is not obviously military in nature but has the potential for military use.

Yes

No

I hereby attest that I have used all reasonable diligence in preparing this Export Control- Attestation Form and, to the best of my knowledge; it is true, complete, and accurate.

Please note: this form must have an original signature.

Signature, Principal Investigator/ Supervisor

Date