



Guidance on Proposals with Cost-Sharing

Research Administration Forum
June 27, 2018

Applicable to all Cost-Sharing Scenarios

- Do not use federal funds as a cost-share commitment unless specifically allowed by the sponsor
- A commitment letter is always required for third-party commitments and/or if a sponsor requirement
- Voluntary cost-sharing is strongly discouraged
- Cost-shared funds are subject to the same terms and conditions as the sponsored funding unless the sponsor indicates otherwise

Cost-Sharing Scenarios and Requirements

Voluntary Committed (US Government and Non-Profit Sponsors)

Cash or in-kind
(non-effort)

Requires documentation
(letter/email)

Strongly discouraged

Policy: UCOP 5-320

Mandatory (US Government and Non-Profit Sponsors)

Cash or in-kind
(non-effort)

Requires documentation
(letter/email)

If not required, but *encouraged*:

- Include as voluntary committed, such as effort **or**
- Describe available resources in non-monetary terms (preferred)

Policy: UCOP 5-310

Mandatory (For-Profit Sponsors)

Cash or in-kind

Generally not allowed

- May request an exception in some cases

If exception approved,
requires documentation
(letter/email)

Policy: UCOP 5-310

Cost-Sharing Scenarios and Requirements

Voluntary Committed (For-Profit Sponsors)

Cash or in-kind

Generally not allowed

- May request an exception in some cases

If exception approved,
requires documentation
(letter/email)

Policy: UCOP 8-500

Faculty Effort (Mandatory or Voluntary)

Salaries and benefits

Cost shared effort for faculty
at UC Davis does not require
documentation (other than
approved IPF)

Policy: UCOP 5-410

In-Kind Non-Faculty Personnel and Support Staff Identified in Proposal (Mandatory or Voluntary)

Positions that are not
generally cost-shared

Documentation required
(letter/email)

SPO analyst may request
additional information for
clarification

Policy: UCOP 5-410

Cost-Sharing Scenarios and Requirements

In-Kind Non-Effort (Mandatory or Voluntary)

Voluntary cost sharing is discouraged

Documentation required
(letter/email)

Policy: UCOP 5-410

In-Kind or Cash Matching, Third Party Cost Sharing (Mandatory or Voluntary)

Voluntary cost sharing is discouraged

Documentation required
(letter/email)

Must be verifiable post-award

May be subject to a gift fee or indirect costs

Policy: OMB Uniform
Guidance (2 CFR 200.306)

Un-Recovered Indirects (Sponsor Required Rate Reduction)

Not allowed unless sponsor specifically indicates it is allowable

Not likely to be approved/allowed if sponsor is for-profit

Documentation required if required by sponsor

Policy: UCOP APM -020

Resources

- [Guidance on Proposals with Cost-Sharing Matrix](#)
- UCOP Policies:
 - [APM – 020](#)
 - [5-320 Voluntary Cost Sharing](#)
 - [5-310 Mandatory Cost Sharing](#)
 - [5-410 General Restrictions](#)
 - [8-500 Indirect Costs Exceptions](#)
- [OMB Uniform Guidance \(2 CFR 200.306\)](#)

Thank you!