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UC Davis SPO #:

FDP Subrecipient Pilot - Supplemental Project Information

Subrecipients **MUST** submit form prior to agreement execution with the University of California, Davis (UC Davis). It provides a checklist of documents and certifications required. Subrecipients complete this form on an annual basis for multi-year projects.

SECTION A General Information

Subrecipient Name: Subrecipient PI:

Project Title:

Prime Sponsor: RFP or Prime Sponsor #:

Budget Period: to UEI Number:

SECTION B Program Specific Compliance

1. Human Subjects
   a) Project involves Human Subjects Research: ◉ Yes ○ No
   b) Institutional Review Board Approval: ○ Pending ○ Approved ○ Exempt ○ Not Applicable
   NOTE: IRB Determination and Approval **MUST** be submitted to UC Davis.
   Date of IRB Determination/Approval: Subrecipient Protocol Number:
   c) Key Personnel have completed NIH Human Subjects Training requirements: ○ Yes ○ No
2. Animal Subjects
   a) Project involves Animal Subjects Research: ◉ Yes ○ No
   b) Institutional Animal Care and Use Committee Approval: ○ Pending ○ Approved ○ Not Applicable
   NOTE: IACUC Determination and Approval **MUST** be submitted to UC Davis.
   Date of IACUC Approval: Subrecipient Protocol Number:
3. Conflict of Interest (For Non-PHS/NSF Individual COI or any Organizational COI Requirements **ONLY**)
   Subrecipient hereby certifies it will comply with the additional standards for financial disclosure, both individual or organizational, and conflicts of interest that are required by the Prime Sponsor and are not covered by the FDP FCOI Clearinghouse. Subrecipient also certifies that, to the best of the Subrecipient's knowledge:
   1) All financial disclosures have been made which are related to the activities that may be funded by or through a resulting agreement, and that are required by its conflict of interest policy and/or sponsor requirements;
   2) All identified conflicts of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with Subrecipient's conflict of interest policy and/or sponsor requirements prior to the expenditure of any funds under any resulting agreement;
   3) Any information consistent with the Sponsor's guidelines for conflict of interest reporting will be made to UC Davis as required, either at the time of proposal or before funding begins.
4. Cost-Sharing
   Cost Share or Matching is being provided by the Subrecipient: ○ Yes ○ No Amount:
   NOTE: Cost sharing **MUST** be detailed and justified in the Subrecipient **BUDGET**.
5. NIH International Subrecipient Reporting Requirements (For non-U.S. Subrecipients **ONLY**)
   Effective January 1, 2024, if the prime sponsor is the U.S. National Institutes of Health (NIH), Subrecipient is aware of the provisions of NIH GPS 15.2.1 requiring that international subrecipients provide access (electronic access permissible) to copies of all lab notebooks, all data, and all documentation associated with the research as described in the progress report to the primary funding recipient and in alignment with progress report submission requirements, but on no less than an annual basis.

   Subrecipient ○ will/ ○ will not comply with this requirement.

SECTION C Subrecipient Signature

The information, certifications, and representations above have been read, signed, and made by an **authorized official** of the Subrecipient. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary interinstitutional agreements consistent with those policies. **Any work begun and/or experiences incurred prior to execution of an agreement are at the Subrecipient's risk.**

Signature of Subrecipient Authorized Official	Date
Printed Name of Authorized Official	Title of Authorized Official
E-mail Address	Phone Number