

FDP Subrecipient Pilot - Supplemental Project Information

Subrecipients **MUST** submit form prior to agreement execution with the University of California, Davis (UC Davis). It provides a checklist of documents and certifications required. Subrecipients complete this form on an annual basis for multi-year projects.

SECTION A General Information

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|-------------------------------|-----------------------|
| Subrecipient Name: | Subrecipient PI: |
| Project Title: | |
| Prime Sponsor: | Prime Sponsor Number: |
| Budget Period: _____ to _____ | DUNS Number: |
| | UEI Number: |

SECTION B Program Specific Compliance

1. Human Subjects

- a) Project involves Human Subjects Research: Yes No
- b) Institutional Review Board Approval: Pending Approved Exempt Not Applicable

NOTE: IRB Determination and Approval **MUST** be submitted to UC Davis.

Date of IRB Determination/Approval: _____ Subrecipient Protocol Number: _____

- c) Key Personnel have completed NIH Human Subjects Training requirements: Yes No

2. Animal Subjects

- a) Project involves Animal Subjects Research: Yes No
- b) Institutional Animal Care and Use Committee Approval: Pending Approved Not Applicable

NOTE: IACUC Determination and Approval **MUST** be submitted to UC Davis.

Date of IACUC Approval: _____ Subrecipient Protocol Number: _____

3. Conflict of Interest (For Non-PHS/NSF Individual COI or any Organizational COI Requirements **ONLY**)

Subrecipient hereby certifies it will comply with the additional standards for financial disclosure, both individual or organizational, and Conflict of Interest which are required by the Prime Sponsor and are not covered by the FDP FCOI Clearinghouse. Subrecipient also certifies that, to the best of the Institution's knowledge:

- 1) Any financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy and/or sponsor requirements;
- 2) All identified Conflict of Interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with Subrecipient's Conflict of Interest policy and/or sponsor requirements prior to the expenditure of any funds under any resulting agreement;
- 3) Any information consistent with the Sponsor's guidelines for Conflict of Interest reporting will be made to UC Davis as required, either at the time of proposal or before funding begins.

4. Cost-Sharing

Cost Share or Matching is being provided by the Subrecipient: ☐ Yes ☐ No Amount: _____

NOTE: Cost sharing **MUST** be detailed and justified in the Subrecipient **BUDGET**.

SECTION C Subrecipient Signature

The information, certifications, and representations above have been read, signed, and made by an **authorized official** of the Subrecipient. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to subawards and are prepared to establish the necessary interinstitutional agreements consistent with those policies. **Any work begun and/or experiences incurred prior to execution of an agreement are at the Subrecipient's risk.**

Signature of Subrecipient Authorized Official

Date

Printed Name of Authorized Official

Title of Authorized Official

E-mail Address

Phone Number