

Export Control: Attestation Form

This form should be completed by the principal investigator who will be supervising the visa beneficiary (i.e., the nonimmigrant employee or visiting scholar), or the individual who is otherwise knowledgeable about the beneficiary's intended work.

This process requires UC Davis to certify whether or not controlled technology or technical data will be used or accessed by the beneficiary and, if so, that a license from the Department of Commerce or the Department of State will first be obtained. "Technology" and "technical data" are broadly defined under federal law as computer hardware and software code, chemical and biological materials, and an extensive list of instruments and other equipment and technology.

UC Davis is committed to complying with these laws and thus it is imperative that you answer the following questions completely and accurately to your best ability as substantial civil and criminal penalties may be assessed if the certification is incorrect.

Beneficiary Information

Information in this section will be shared with the Services for International Students and Scholars

Beneficiary's Family Name:

Beneficiary's First Name:

Beneficiary's Citizenship(s):

Department Sponsoring Beneficiary:

PI or Supervisor (Name, Title, Phone, Email):

Principal Investigator or Supervisor – Attestation

If there are any questions regarding the statements below, please contact export@ucdavis.edu

1. Will the beneficiary activities involve any sort of research? If no, skip questions 2 and 3 then please proceed to question 4.
Yes ☐ No ☐
2. If the beneficiary will be involved in research, is there a research agreement (e.g., grant or contract) that has or will be reviewed and executed/signed by the Office of Research or UC Davis Health System Sponsored Programs and/or Contracts unit(s)?
☐ Yes ☐ No
If no, who will be funding the beneficiary's research? [Click or tap here to enter text.](#)
3. Is there any expectation that the research results will not be published or shared broadly with the academic or scientific community or the research agreement restricts publication or presentation of the results in any way (e.g., pre-publication review, right to withhold permission for publication)?
☐ Yes ☐ No

4. Will the beneficiary's activities or projects (including any research projects) involve access to technical information or scientific third-party information, materials, equipment, or manuals that has been stamped "Export Controlled" or is not already in the public domain (i.e., not already published or is proprietary or confidential)?
☐Yes ☐No
5. Will the beneficiary's activities or projects (including any research projects) involve access to or use of any military or space applications or "dual-use" technologies that have potential applications to military/proliferation purposes in addition to the usual commercial uses?
For example: Newly developed night vision technology or GPS technology used to observe nocturnal animals may have a "dual use" even though its primary purpose is not obviously military in nature but has the potential for military use.
☐Yes ☐No

If you have answered yes to any of questions 3, 4, or 5, please explain below.

Reason for Supplement: Please check all that apply

- ☐ There is an expectation that the research results will not be published or shared broadly with the academic or scientific community. (Question #3)
- ☐ The work will involve access to technical or scientific third-party information, materials, equipment, or manuals that have been stamped "Export Controlled" or is not already in the public domain (i.e., not already published or is proprietary or confidential). (Question #4)
- ☐ The beneficiary MAY work on military or space applications or "dual-use" technologies that have potential applications to military/proliferation purposes in addition to the usual commercial uses. (Question #5)

1. Please explain your reasoning. If more space is required, please attach additional page(s)
2. **Please *attach*** a brief scope of work for the visa beneficiary.

Signature

I hereby attest that I have used all reasonable diligence in preparing this Export Control - Attestation Form and, to the best of my knowledge, it is true, complete, and accurate.

Signature, Principal Investigator/ Supervisor

Date