

Subrecipient, Contractor/Vendor, Consultant?

Review Element	Subrecipient	Contractor/Vendor	Consultant
Project/Scope of Work Design	Collaborates on design or development	UC PI defines/dictates the scope of work, and expected deliverables	
Proposal to UCD's Prime Sponsor	Collaborate with UCD PI on preparing/developing the overall proposal	Does not contribute to the development of the proposal	Advises only, but not as a UCD employee
Work Performance	Substantive scientific responsibility to contribute on provision and/or testing of a scientific hypothesis	Incidental to overall project (e.g. "skilled hands/minds"), and/or directions on completion is dictated by UCD PI	Expert advisor, review or services. Subject matter expert who provides professional or technical advice
Good/Services	N/A	Provides similar good/services to others as part of their typical operations	Provides similar services to others
Decision Making	Independently controls method and results of their portion of the scientific project, rather than simply providing a service	Has no decision-making right/responsibility with respect to the overall project	
Compliance	Must comply with terms/conditions of the Prime Award	Not subject to Prime Award terms and conditions	
Performance Measurement	Measured against meeting the project objectives	Tied specifically to contract deliverables, not overall project objectives	
Publications	May author or co-author publications resulting from their research findings pursuant to the prime agreement	Does not typically author ^[AH1] publications resulting from their-own portion of the work	
Intellectual Property (IP)	IP rights detailed in subaward agreement	No potential for IP to be generated by contractor/vendor	N/A

A subaward is likely appropriate if the project meets the criteria in the "Subrecipient" column, above **AND** the response to any of the following questions is "Yes":

1. Does the entity's SOW represent an intellectually significant and clearly separable portion of the programmatic effort of the overall research project resulting in scientific conclusions to prove or disprove a hypothesis?
2. Does the entity have responsibility for a portion of the research (i.e., scientific investigation or conclusions to provide or disprove a hypothesis)? Testing, data analysis, curriculum creation and outreach typically constitute services, not research.
3. Could the entity's work result in intellectual property being developed or publishable results (including co-authorship)?
4. Will the entity need animal and/or human subjects approval for its portion of the work?