

## Subrecipient, Contractor/Vendor, Consultant?

Review Element	Subrecipient	Contractor/Vendor	Consultant
<b>Project/Scope of Work Design</b>	Collaborates on design or development	UC PI defines SOW	
<b>Proposal to UCD's Prime Sponsor</b>	Works on overall proposal	Does not work on proposal	Advises only, but not as a UCD employee
<b>Work Performance</b>	Substantive programmatic work, significant portion of overall project	Incidental to overall project (e.g. "skilled hands/minds")	Expert advisor, review or services. Subject matter expert who provides professional or technical advice
<b>Good/Services</b>	N/A	Provides similar good/services to others as part of their business	Provides similar services to others
<b>Proposal to UCD's Prime Sponsor</b>	Works on overall proposal	Does not work on proposal	Advises only, but not as a UCD employee
<b>Decision Making</b>	Controls method and results of their portion of the project	Makes no project decisions	
<b>Compliance</b>	Must comply with Prime Award terms	Not subject to Prime Award terms	
<b>Performance Measurement</b>	Measured against meeting the project objectives	Tied specifically to contract deliverables, not project objectives	
<b>Publications</b>	May create or co-author publications	Does not typically create or co-author project publications	
<b>Intellectual Property (IP)</b>	IP rights detailed in subaward agreement	No potential for IP to be created by contractor/vendor	N/A

A subaward is likely appropriate if the project meets the criteria above **AND** you can answer "YES" to any of the following questions:

1. Does the entity's SOW represent an intellectually significant and clearly separable portion of the programmatic effort of the overall project?  
For example, is the proposed subawardee's work a specific aim in UCD's Scope of Work?
2. Does the entity have responsibility for programmatic decision making?
3. Could the entity's work result in intellectual property being developed or publishable results (including co-authorship)?
4. Will the entity need animal and/or human subjects approval for its portion of the work?